



Strategic Implementation Plan (SIP) for a Community-based Unified Forecast System (UFS)

Model Physics Working Group

Presented by Jack Kain, NCEP/EMC

Coordination Meeting for UFS SIP May 14-16, 2019; College Park, MD



Model Physics WG Membership



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**Co-Chairs

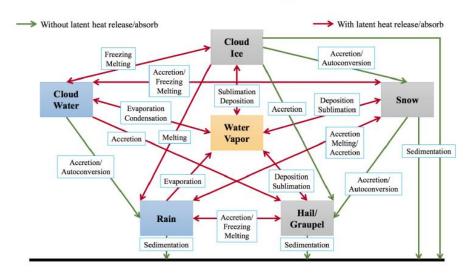


Model Physics WG Project Milestone Accomplishments



- SIP project accomplishments to date:
 - GFSv15 implementation June 2019
 - FV3 dynamic core
 - GFDL Microphysics with enhanced radiation interactions
 - NRL O3, H2O Photochemistry Parameterization

GFDL MP at a glance







Model Physics WG Accomplishments



- SIP project accomplishments to date:
 - GFSv16 Physics options selected, pending validation; GFSv15 Physics with these changes:
 - PBL/turbulence: K-EDMF => sa-TKE-EDMF
 - Land surface: Noah => Noah-MP
 - <u>GWD</u>: separate orographic/non-orographic => unified gravity-wave-drag
 - Radiation: updates to cloud-overlap assumptions, empirical coefficients, etc. in RRTMG
 - Community engagement in physics upgrade process



Model Physics WG Challenges



SIP project issues this year:

- CCPP code acceptance at EMC delayed
- Fresh-water Lake Model (FLAKE) implementation delayed
- RRTMGP radiation upgrade delayed

Model Physics WG Team Coordination and Dependencies

- Team coordination/dependency <u>successes</u>:
 - Ensemble Team (GEFS development) collaboration led to improved representation of interactions between GFDL microphysics and atmospheric radiation
- Team coordination/dependency <u>issues</u>:
 - CAM Team coordination could be better
 - System Architecture Team collaboration re: land-model coupling
 - Marine Models/Dynamics and Nesting Team interactions need to improve re: hurricane physics
 - Need to enhance collaboration with DA WG

Model Physics WG Team Coordination and Dependencies

- Projects to be accelerated through Hurricane
 Supplemental Funding:
 - Development of Hierarchical Testing Framework for Physics (HTFP) Architecture
 - Process-level assessment of physics innovations using HTFP
 - Stochastic Physics Development
 - EMC-GSD focused improvement of parameterizations for moist convection, microphysics, and PBL/turbulence, drawing primarily from schemes used in recent physics-suite evaluations (more later)
 - Develop more advanced unified gravity-wave drag parameterization (orographic/non-orographic/form)
 - Final development of RRTMGP radiation; exploration of machinelearning approaches to radiation parameterization

Model Physics WG Team Coordination and Dependencies

- Based on experience to date, what change(s) do you recommend to your working group (different composition, focus, charter/ToR, need to continue, etc.)
 - Smaller size and/or emphasis on sub-groups
 - Perhaps...
 - Formation of funded small, multi-organization working sub-groups (2-4 people, including at least one person from EMC need EMC bandwidth) to focus on collaborative Research, Development, Testing, and Evaluation of parameterizations for individual physical processes (e.g., PBL, moist convection, microphysics, etc).
 - A commitment to publish all significant advances in parameterization development, at a minimum in Weather and Forecasting's NCEP NOTES (make engagement more attractive for publish/perish inhabitants)
 - Incentivize collaborative work to improve existing operational parameterizations rather than to design new parameterizations/suites







Advancing Model Physics in the GFS: A strategic approach combining

- Improvement of individual parameterizations
- Replacement of individual parameterizations
- Replacement of parameterization <u>suites</u> (multiple schemes at once)







Primary GFSv15 (FV3-GFS) Physical Parameterizations

- 1) Moist Convection: Scale-aware Simplified Arakawa-Schubert (sa-SAS)
- 2) Microphysics: GFDL single-moment
- **3) PBL/Turbulence:** Scale-Aware Eddy-Diffusivity Mass-Flux (sa-K-EDMF) scheme
- 4) Radiation: RRTMG scheme (~currently used in NAM/RAP-HRRR/GFS) currently being updated and improved
- 5) Land: Noah LSM
- 6) Gravity-Wave Drag (GWD): separate orographic/nonorographic components
- 7) Stratospheric water vapor/ozone chemistry







GFSv16 plans: Possible Replacement of

- Microphysics (MP)
 - moist convection (CP)
- PBL/Turbulence (PBL) parameterization <u>suite</u>







Why "MP-CP-PBL combo"?

- Physics schemes in any model are highly interdependent
- optimal performance of any individual scheme requires a long period of aggregate "tuning" of all parameterizations in a suite
- Our experiment: Is it feasible to do a forklift replacement with a pre-tuned suite/combination?







Parameterization Options within Suites:

Convection:

- 1. Simplified Arakawa-Schubert (SAS) operational GFS
- 2. Simplified Arakawa-Schubert (SAS) operational GFS
- 3. Chikira-Sugiyama (CS) Climate modeling community
- 4. Grell-Freitas (GF) operational RAP







Parameterization Options within Suites:

Microphysics:

- GFDL soon to be operational in GFSv15
 GFDL soon to be operational in GFSv15
- 3. Morrison-Gettelman (MG3) NCAR climate, other apps
- 4. Thompson RAP/HRRR, other







Parameterization Options within Suites:

PBL/Turbulence:

- 1. K-EDMF soon to be operational GFS
- 2. TKE-EDMF upgrade of K-EDMF with prognostic TKE
- 3. K-EDMF soon to be operational GFS
- 4. MYNN-EDMF RAP/HRRR, other

PHYSICS SUITES ASSESSED FOR POSSIBLE GFSv16 IMPLEMENTATION

	<u>Suite 1</u> (GFS v15)	Suite 2	Suite 3	Suite 4			
Deep convection	sa-SAS	sa-SAS	sa-CS	sa/aa-GF			
Shallow convection	sa-MF	sa-MF	sa-MF	MYNN-EDMF			
Shanow convection	sa-wii	Sa-1VII*	Sa-WII	and sa GF			
Microphysics	GFDL	GFDL	aa-MG3	aa-Thompson			
PBL/Turbulence	K-EDMF	sa-TKE-EDMF	K-EDMF	MYNN-EDMF			
Land Surface	Nooh	Nooh	Nooh	DLIC			
Model	Noah	Noah	Noah	RUC			

~ EMC operational

Roots primarily in global/climate community

*sa = Scale-aware

*aa = aerosol aware

RAP/HRRR suite -Roots primarily in mesoscale community







Physics Suite-Selection:

Scope of Testing - Forecast only (no DA/cycling):

- Initialize with ECMWF full-resolution analyses
- C768L64 (as in current FV3GFSv1; ~ 13 km dx, 64 vertical levels)
- 10-day forecasts
- Initialize every 5 days between 1 Jan 2016 31 Dec 2017, alternating between 00Z and 12Z
- Case studies (~16 cases, selected by EMC MEG) focusing on particularly challenging/"big" events over CONUS, known deficiencies of GFS/FV3GFS, and tropical cyclones



3/15/17 00z





Physics Suite-Selection:

High-impact/special interest cases (selected by MEG)

```
10/1/15 00z
             TC Joaquin and flooding in SC
10/2/16 00z
             TC Matthew
             TC Harvey
8/26/17 00z
             TC Irma
9/7/17 00z
10/4/17 00z
             TC Nate
8/19/18 00z
             TC Lane
9/11/18 12z TC Florence
7/31/17 00z TC Noru
1/18/16 12z
             Blizzard of 2016 - progressive
4/22/16 00z
             Plains severe weather - progressive, also a chance to examine drylines
3/10/17 00z
             "Pi Day" Blizzard - Precipitation type
             Valley flooding in MS
4/20/17 00Z
             Too hot in FV3GFS in CA
7/29/17 00z
10/16/17 12z
             Inversions and 2-m temperature
1/1/18 \quad 00z
             "Bomb" cyclone
```

Atmosphere river - progressive







Physics Suite-Selection Verification Metrics

• Same as those used for our operational systems, focusing on days 3-10 forecasts to minimize spin-up issues:

http://www.emc.ncep.noaa.gov/gmb/emc.glopara/vsdb

- Including (over CONUS) precipitation, instability, and PBL structures
- Hurricane track and intensity
- subjective analysis of case studies by MEG
- additional metrics derived by GMTB using METplus







Physics Suite-Selection Decision-making process/factors

- EMC MEG provides detailed analysis of case studies, considers global and CONUS verification statistics
- Independent* expert panel considers all available diagnostics, statistics, and MEG assessment, makes a formal recommendation to EMC
- EMC considers all factors and recommendations, makes a decision on what parameterizations/suite to develop for GFSv16

^{*}No real or perceived conflict of interest wrt any of the candidates for implementation





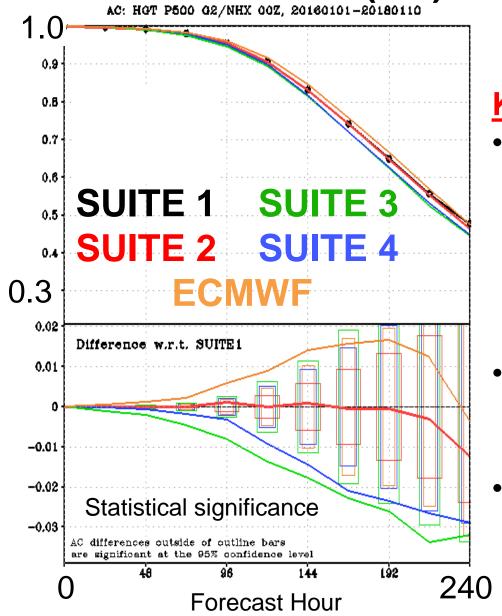


Physics Suite-Selection

Timeline:

- 1 Dec 2018 9 Feb 2019: Complete all model forecasts
- 10 Feb − 15 March 2019: Verification and diagnostic analyses of results
- 21 March 2019: MEG presentation and discussion of results
- 25 March 2019: Independent expert panel submits formal recommendation(s) to EMC
- 29 March 2019: EMC decision on path forward

500-hPa Geopotential Height Anomaly Correlation (AC) Scores



KEY POINTS:

- Suites 1 and 2 have statistically significantly better AC scores than Suites 3 and 4 well into medium range
- Suites 1 and 2 have nearly identical AC scores
- Suite 4 is slightly better AC scores than Suite 3

	EMC Verification Scorecard
	Symbol Legend
A	SUITE2 is better than SUITE1 at the 99.9% significance level
4	SUITE2 is better than SUITE1 at the 99% significance level
	SUITE2 is better than SUITE1 at the 95% significance level
	No statistically significant difference between SUITE2 and SUITE1
	SUITE2 is worse than SUITE1 at the 95% significance level
•	SUITE2 is worse than SUITE1 at the 99% significance level
•	SUITE2 is worse than SUITE1 at the 99.9% significance level
	Not statistically relevant
	Start Date: 20160101
	End Date: 20180110

SUITE 2

				N	V. An	neric	an			N.	. Hen	iisph	ere			S.	Hen	isph	ere		Tropics						
			Day 1	Day 3	Day 5	Day 6	Day 8	Day 10	Day 1	Day 3	Day 5	Day 6	Day 8	Day 10	Day 1	Day 3	Day 5	Day 6	Day 8	Day 10	Day 1	Day 3	Day 5	Day 6	Day 8	Day 10	
	_	250hPa	•						•							A	•										
Geop	ot.	500hPa													A	A											
Heigh	าt	700hPa													•	•	A										
l		1000hPa	•	•					•	▶					•	•	•	▶	•	V							
Vecto	or .	250hPa															*										
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Tempera	ture	500hPa	A						A	A					A	A	A	*									
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	MSLP	MSL	•	•					•	•					V	V	•	•	V	V							

Anomaly Correlation

Г	EMC Verification Scorecard
	Symbol Legend
	SUITE3 is better than SUITE1 at the 99.9% significance level
4	SUITE3 is better than SUITE1 at the 99% significance level
	SUITE3 is better than SUITE1 at the 95% significance level
	No statistically significant difference between SUITE3 and SUITE1
	SUITE3 is worse than SUITE1 at the 95% significance level
	SUITE3 is worse than SUITE1 at the 99% significance level
•	SUITE3 is worse than SUITE1 at the 99.9% significance level
	Not statistically relevant
	Start Date: 20160101
	End Date: 20180110

SUITE 3

				N	l. An	ieric:	an			N	. Hen	aisph	ere			S.	Hen	iisph	ere		Tropics						
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			1	3	5	6	8	10	1	3	5	6	8	10	1	3	5	6	8	10	1	3	5	6	8	10	
		250hPa	•	•	•	•	▼		•	▼	▼	•	•		•	•	•	•	•	•							
Geopo	ot.	500hPa	•	•	•	•	•		•	•	•	•			•	•	•	•	•	•							
Height		700hPa	•	•	•	*	•		•	•	•	•			•	•	•	•	•								
	1000hPa	•	•	•	•	•		•	•	•	•			•	•	•	•	•									
Vector		250hPa	•	•	•	•	•		•	▶	•	•	•		•	•	•	•	•	•							
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l VVIIIO		850hPa	•	•	•	•	•		•	•	•	•			•	•	•	•	•								
i F		250hPa	•	•	-	•	•		•	•	•	•	•		•	•	•	•	•	•							
Temperat	ture	500hPa	•	•	•	•	•		•	•	•	•	•		•	•	•	•	•	•							
l 'L		850hPa	•	•	•	•	•		•	•	•	•	•		•	•	•	•	•								
	MSLP	MSL	•	•	•	•	•		•	•	•	•			•	•	•	•	•								

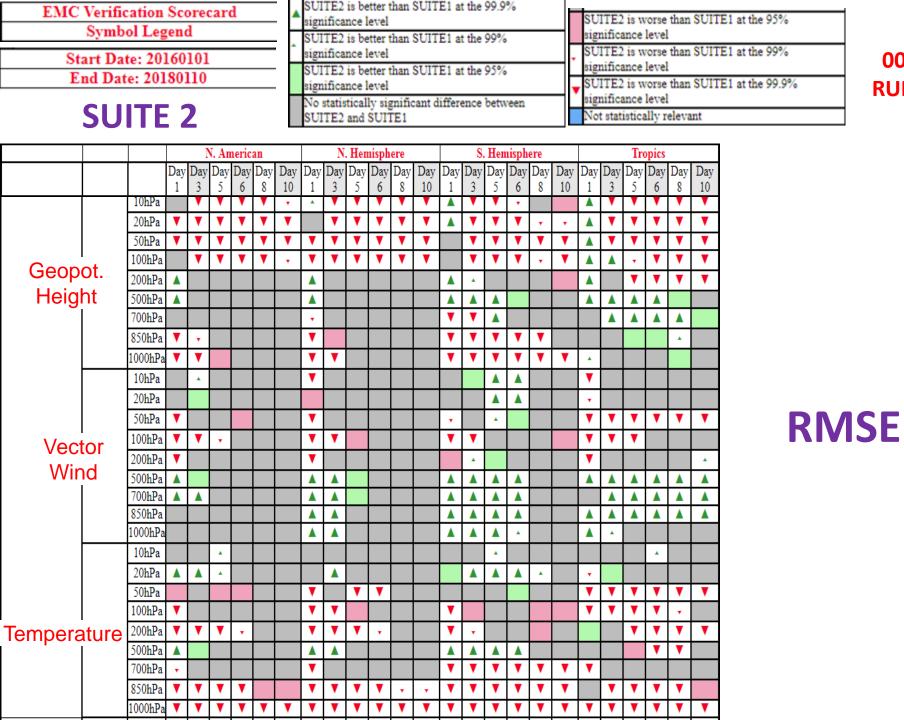
Anomaly Correlation

	EMC Verification Scorecard
	Symbol Legend
A	SUITE4 is better than SUITE1 at the 99.9% significance level
	SUITE4 is better than SUITE1 at the 99% significance level
	SUITE4 is better than SUITE1 at the 95% significance level
	No statistically significant difference between SUITE4 and SUITE1
	SUITE4 is worse than SUITE1 at the 95% significance level
4	SUITE4 is worse than SUITE1 at the 99% significance level
•	SUITE4 is worse than SUITE1 at the 99.9% significance level
	Not statistically relevant
	Start Date: 20160101
	End Date: 20180110

SUITE 4

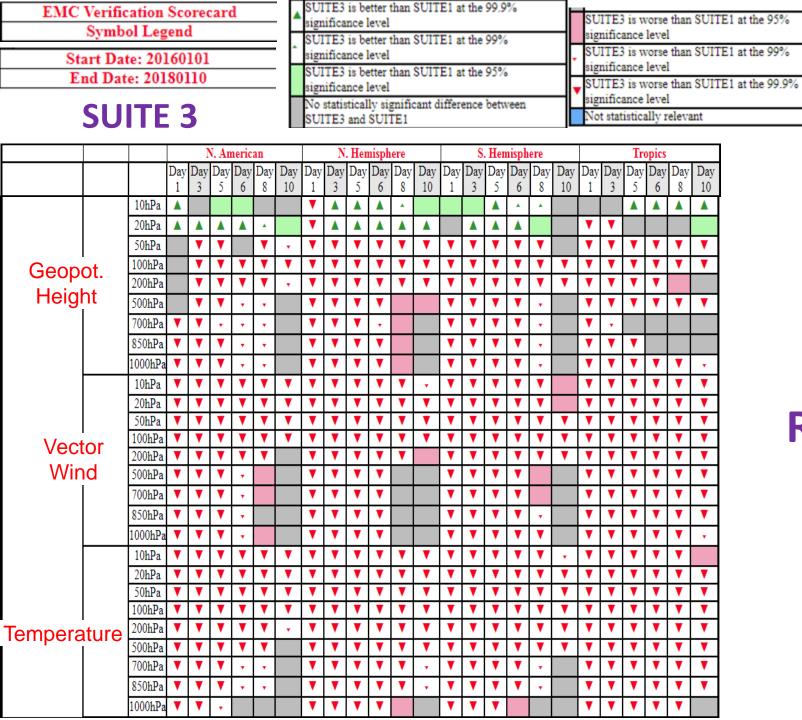
				N	V. An	1eric	an			N	. Hen	nisph	ere			S.	Hen	iisph	ere		Tropics						
			Day 1	Day 3	Day 5	Day 6	Day 8	Day 10	Day 1	Day 3	Day 5	Day 6	Day 8	Day 10	Day 1	Day 3	Day 5	Day 6	Day 8	Day 10	Day 1	Day 3	Day 5	Day 6	Day 8	Day 10	
	_	250hPa	▼	V	•	•			▼	V	▼	V			V			-	•	•							
Geop	ot.	500hPa	•	•	•	•			•	•	•	•															
Heigh	nt	700 hP a	•	•					•	•	•				•	•											
		1000hPa	•	•	•				•	•	•	4	•		•	•	•	•	•	•							
Vector		250hPa	•	•	•	•			•	•	•	•	•		•	•	•	•	•								
Wind	4	500 hP a	•	•	•	•			•	•	•	•			•	4			+	+							
V V II I C	4	850hPa	•	•	•	•			•	•	•	•	•		•				•								
		250hPa	•	•	•	•			•	•	•	•	•		•	•				•							
Tempera	iture	500hPa	•	•	•	•			•	•	•	•			•			+	+	*							
		850hPa	•	•	•	•	•		•	•	•	•	•		•	•	•	•	•	•							
	MSLP	MSL	▼	•	•				•	•	•	*	•		•	▼	•	•	•	V							

Anomaly Correlation

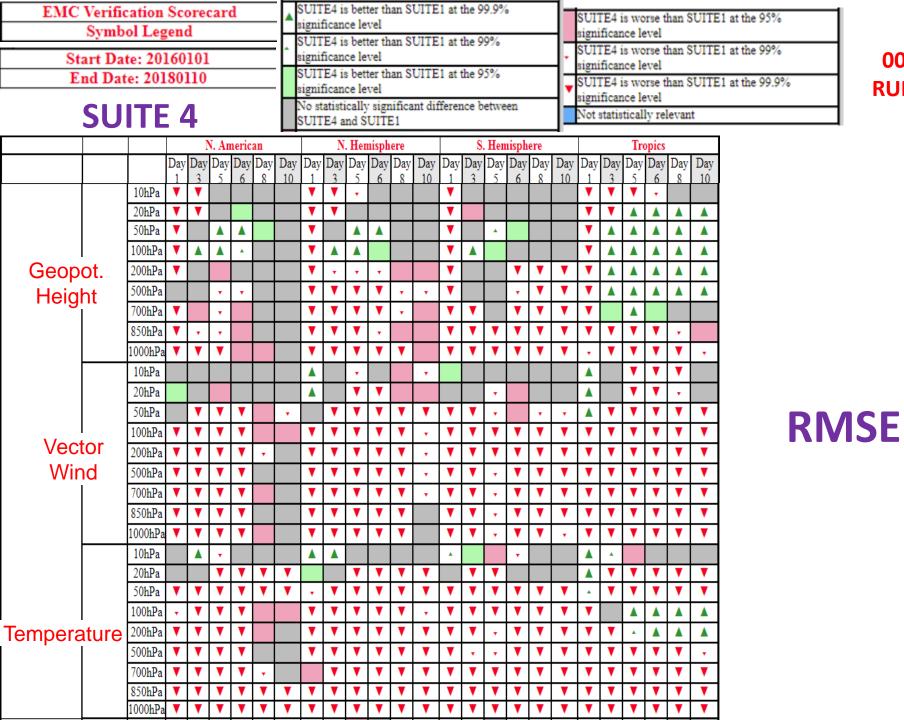


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RUNS

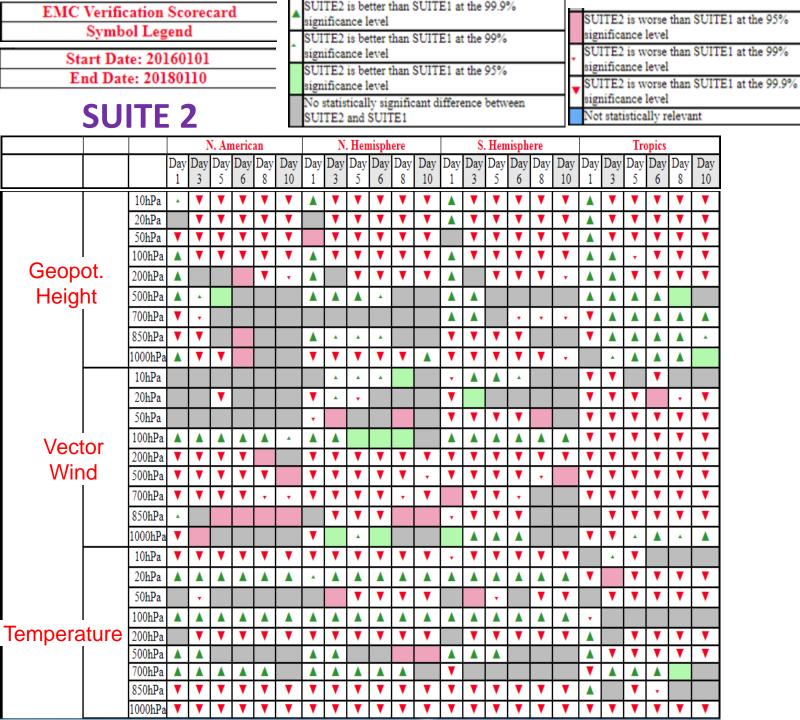


RMSE

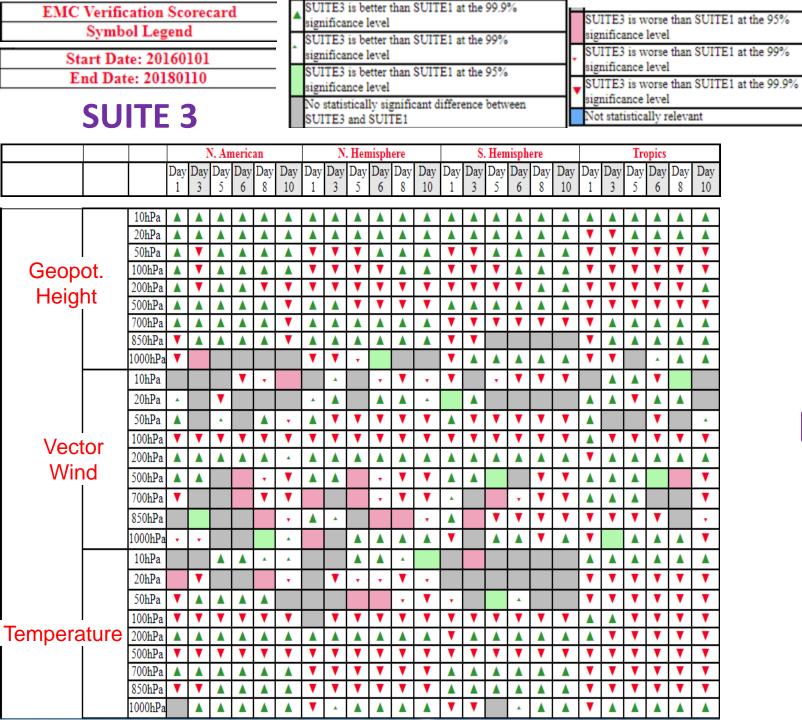


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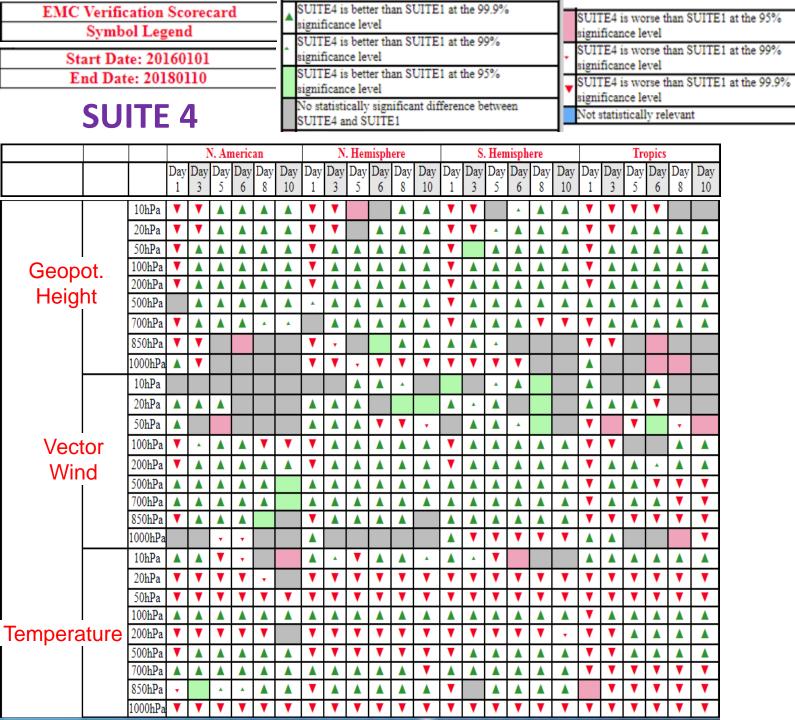
RUNS



BIAS



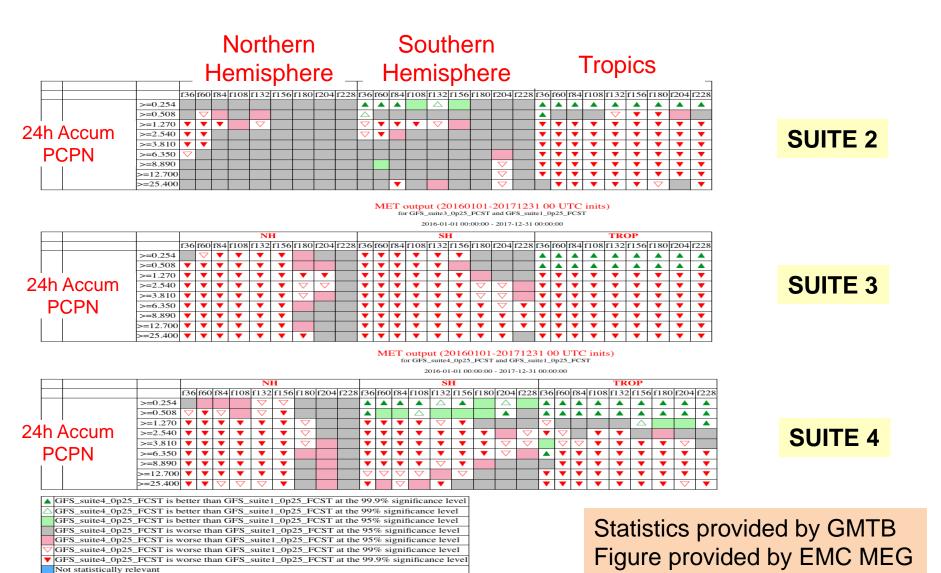
BIAS



BIAS

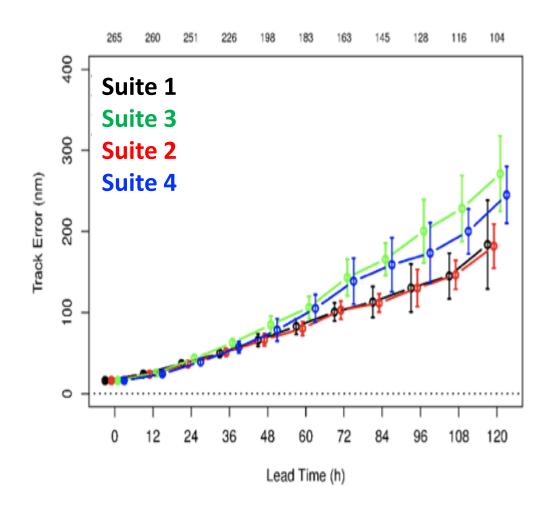
PRECIPITATION SCORECARDS

ETS for NH, SH, & Tropics. All symbols are relative to Suite 1



TROPICAL CYCLONES

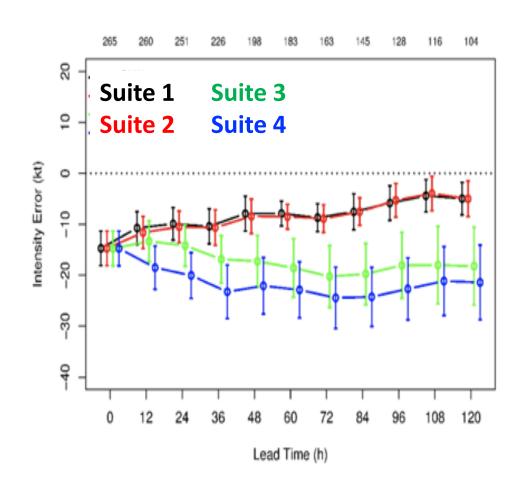
Track Performance – Composite Track Errors



Statistics provided by GMTB

- For many of the 8 TCs
 examined by the MEG,
 track forecasts were fairly
 comparable
- Track errors for Suites 1 and 2 were nearly identical
- Incorrect outlier track solutions were largely limited to forecasts from Suites 3 and 4
- Composite stats for all TCs in all basins show that beyond Day 3, Suites 3 and 4 did have larger track errors than Suites 1 and 2

Intensity Performance – Composite Vmax Errors



- As expected, all suites had a weak intensity bias compared to Best Track data
- Intensity errors for Suites 1 and 2 were nearly identical
- Intensity forecasts from
 Suites 3 and 4 were
 significantly weaker
 beyond Day 1, while Suites
 1 and 2 reduced
 the intensity errors with
 time

Statistics provided by GMTB

Executive Summary (independent panel)

- Overall none of the 3 developmental suites were clearly superior to the control GFSv15 physics Suite 1.
- The performance of suite 2 was closest to suite 1 even improving some aspects of the forecasts.
- We encourage work to continue on other suites and new physics packages for future testing.
- We also encourage a similar testing and independent evaluation process on an annual basis in the future.



Model Physics WG Accomplishments



- SIP project accomplishments to date:
 - GFSv16 Physics options selected, pending validation; GFSv15 Physics with these changes:
 - PBL/turbulence: K-EDMF => sa-TKE-EDMF
 - Land surface: Noah => Noah-MP
 - <u>GWD</u>: separate orographic/non-orographic => unified gravity-wave-drag
 - Radiation: updates to cloud-overlap assumptions, empirical coefficients, etc. in RRTMG





Questions?

Recommendations on Physics Suites From Independent Panel

- Suite 2, which features a more advanced closure in the PBL scheme, was
 the closest in performance to Suite 1 and exhibited improvements in
 some important aspects including somewhat better capturing surfacebased inversions and some better precipitation statistics over CONUS.
- Although overall Suite 2 did not perform as well as Suite 1, there are enough positive aspects in the Suite 2 performance to consider further experimentation and tuning in the near term (time permitting) to see if Suite 2 can be implemented in GFSv16. The panel believes the more advanced PBL may ultimately provide improved forecasts of the PBL.
- Suites 3 and 4 both showed promising results in a number of aspects. We strongly encourage and recommend that the developers of both Suites 3 and 4 continue development and testing. We also recommend the developers to consider consolidating the best aspects of all suites, so attention can be focused on a single advanced development suite in the future.

Recommendations for the Future From Independent Panel

- We are supportive of a continued annual process in which an independent panel provides analysis and recommendations on the evaluation of parameterization suites considered for future operations.
- We encourage the testing and evaluation of other combinations of physics from the existing four suites, in addition to emerging physical parameterizations.
- Adequate time for tuning and evaluation is needed prior to test phase.
 The panel is aware of some issues related to the setups of suites 3 and 4 that impacted their results in this round and that these suites would have been improved given adequate pre-testing.
- Our recommendation is that a pre-test period of a few weeks should be built into the schedule using some of this year's initial data (but independent of the data in the next test).
- It is recognized that this year was special because the physics framework was being changed at the same time as new physics were added, but the new framework should make implementations easier in the future.
- Data assimilation cycling was not included in the current test suite and